Approved for use through 10/31/2002. OMB 0651-0032

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UTILITY PATENT APPLICATION

Attorney Docket No. 11738.00161 Keith R. Hildebrand First Inventor A METHOD FOR TREATING SEVERE TINNITUS Title

TRANSMITTAL Express Mail Label No. EL 941343852 US (Only for new nonprovisional applications under 37 C.F.R. 1.53(b)) Assistant Commissioner for Patents APPLICATION ELEMENTS ADDRESS TO: **Box Patent Application** See MPEP chapter 600 concerning utility patent application contents. Washington, DC 20231 7. CD-ROM or CD-R in duplicate, large table or 1. Fee Transmittal Form (e.g., PTO/SB/17) Computer Program (Appendix) (Submit an original and a duplicate for fee processing) 2. Applicant claims small entity status. 8. Nucleotide and/or Amino Acid Sequence Submission See 37 CFR 1.27. (if applicable, all necessary) a. Computer Readable Form (CRF) з. 🛛 Specification [Total Pages (preferred arrangement set forth below) b. Specification Sequence Listing on: Descriptive title of the Invention i. CD-ROM or CD-R (2 copies); or - Cross Reference to Related Applications ii. D paper - Statement Regarding Fed sponsored R & D c. Statements verifying identity of above copies - Reference to sequence listing, a table, or a computer program listing appendix ACCOMPANYING APPLICATIONS PARTS - Background of the Invention - Brief Summary of the Invention 9. 🖂 Assignment Papers (cover sheet & document(s)) - Brief Description of the Drawings (if filed) 10. \square 37 C.F.R.§3.73(b) Statement Power of - Detailed Description (when there is an assignee) Attorney - Claim(s) - Abstract of the Disclosure 11. English Translation Document (if applicable) Copies of IDS 4. 🔯 Drawing(s) (35 U.S.C.113) [Total Sheets 04 12. 🔲 Information Disclosure Citations Statement (IDS)/PTO-1449 Formal; or 13. 🗌 Preliminary Amendment b. Informal Return Receipt Postcard (MPEP 503) 14. 🛛 [Total Pages | 03 5. Oath or Declaration (Should be specifically itemized) a. Newly executed (original or copy); or 15. 🔲 Certified Copy of Priority Document(s) b. Copy from a prior application (37 CFR 1.63 (d)) (if foreign priority is claimed) 16. 🔲 Nonpublication Request under 35 U.S.C. 122 (for a continuation/divisional with Box 18 completed) (b)(2)(B)(i). Applicant must attach form PTO/SB/35 i. DELETION OF INVENTOR(S) or its equivalent. Signed statement attached deleting inventor(s) 17. Other: Certificate of Express Mail named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). 6. Application Data Sheet. See 37 CFR 1.76 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: ☑ Continuation-in-part (CIP) of prior application No: 10 / 259,101 □ Continuation □ Divisional Prior application information: Examiner Sharon E. Kennedy Group / Art Unit: 3762 For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. 17. CORRESPONDENCE ADDRESS 22908 or \square Correspondence address below ☐ Customer Number or Bar Code Label (Insert Customer No. or Attach bar code label here) William J. Allen Name Banner & Witcoff 10 South Wacker Drive Address Suite 3000 City Chicago IL 60606 State Zip Code **United States** Fax Country Telephone (312)463-5000 (312)463-5001 Name (Print/Type) William J. Allen Registration No. (Attorney/Agent) 51,393 July 1, 2003 Signature

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

FEE TRANSMITTAL for FY 2003

Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

1450

TOTAL AMOUNT OF PAYMENT

Application Number	Continuation in Part of 10/259,101				
Filing Date	July 1, 2003				
First Named Inventor	Keith R. Hildebrand				
Examiner Name	Not Assigned				
Art Unit	Not Assigned				
Attarnay Docket No	11738 00161				

Complete if Known

METHOD OF PAYMENT (check all that apply)			FEE CALCULATION (continued)									
			3. ADDITIONAL FEES									
☐ Check ☐ Credit card ☐ Money ☐ Other ☐ None Order ☐ Deposit Account:			Large	Large Entity		Entity						
Deposit	المحدد	н.					Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
Account		19-0733					1051	130	2051	65	Surcharge - late filing fee or oath	
Number			1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.					
Deposit				1053	130	1053	130	Non-English specification				
Account Banner & Witcoff, Ltd. Name			1812	2,520	1812	2,520	For filing a request for exparte reexamination					
The Commissioner is authorized to: (check all that apply) ☑ Charge fee(s) indicated below ☑ Credit any overpayments			1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action					
☐ Charge any additional fee(s) during the pendency of this application ☐ Charge fee(s) indicated below, except for the filing fee				1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action				
to the above-identified deposit account.				1251	110	2251	55	Extension for reply within first month				
FEE CALCULATION 1. BASIC FILING FEE			1252	410	2252	205	Extension for reply within second month					
							1253	930	2253	465	Extension for reply within third month	
Large Entity Fee Fee	Fe		•	Fee Description			1254	1,450	2254	725	Extension for reply within fourth month	
Code (\$)		ode (\$)		1 44704 . FIT F	_	Fee Paid	1255	1,970	2255	985	Extension for reply within fifth month	
1001 750		01 375		Utility filing fee	 -	750	1401	320	2401	160	Notice of Appeal	
1002 330	- 1	02 165		Design filing fe	e ⊢		1402	320	2402	160	Filing a brief in support of an appeal	
1003 520	1	03 260		Plant filing fee	ļ		1403	280	2403	140	Request for oral hearing	
1004 750 1005 160		04 375 05 80		Reissue filing f Provisional fillir	⊢		1451	1,510	1451	1,510	Petition to institute a public use proceeding	
ľ	•	CUDT	\TAI	(4)	<u> </u>	e) 750	1452	110	2452	55	Petition to revive – unavoidable	
SUBTOTAL (1) (\$) 750			1453	1,300	2453	650	Petition to revive – unintentional					
2. EXTRA CLAIM FEES				1501	1,300	2501	650	Utility issue fee (or reissue)				
					Fee from	Fee	1502	470	2502	235	Design issue fee	
T-441 Olai [¬			below	Paid	1503	630	2503	315	Plant issue fee	
Total Claims	52	<u> </u>	= [32 X	18 =	576	1460	130	1460	130	Petitions to the Commissioner	
Independent Claims	4	-3 **	=	1 X	84 =	84	1807	50	1807	50	Processing fee under 37 CFR 1.17 (q)	
L Multiple	,		L	X			1806	180	1806	180	Submission of Information Disclosure Stmt	
Dependent <u>Large Entity</u>		Small E					8021	40	8021	40	Recording each patent assignment per property (times number of	40
Fee Fe Code (\$)	Fee Code	Fee (\$)	Fee Desci			1809	750	2809	375	properties) Filing a submission after final rejection	
1202 18		2202	9		excess of 20		1,,,,	750	0040	075	(37 CFR § 1.129(a))	<u> </u>
1201 84 1203 28		2201 2203	42 140	•	nt claims in ex pendent claim		1810	750	2810	375	For each additional invention to be examined (37 CFR § 1.129(b))	
1204 84	.	2204	42	** Reissue original pa	independent o tent	daims over	1801	750	2801	375	Request for Continued Examination (RCE)	
1205 18	3	2205	9	** Reissue over origin	claims in exce al patent	ess of 20 and	1802	900	1802	900	Request for expedited examination of a design application	
SUBTOTAL (2) (\$) 660					Other fo	Other fee (specify)						
**or number previously paid, if greater; For Reissues, see above					*Redu	ced by Ba	asic Filinç	Fee P	aid SUBTOTAL (3) (\$) 40			
						_	7					

SUBMITTED BY				Co	mplete (if applicable)
Name (Print/Type)	William J. Allen	Registration No. Attorney/Agent)	51,393	Telephone	(312)463-5000
Signature	wellen	1 allon		Date	July 1, 2003

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Provide credit card information on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 4.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentially is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231

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(11738.00161)

Express Mail No. EL 941343852 US

Deposited: July 1, 2003

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By: ______(person actually depositing)

in the Application of: Keith Robert Hildebrand				
Continuation in Part of Serial No: 10/259,101				
Filing	Date: _	July 1, 2003		
Title:	A ME	THOD FOR TREATING SEVERE TINNITUS		
	X	Utility Patent Application Transmittal Form PTO/SB/05		
	X	Fee Transmittal Form PTO/SB/17 (in duplicate)		
	X	Application Data Sheet (3 pages)		
	X	Specification (23 Pages)		
	X	4 Sheets of Formal Drawings (Fig. 1-Fig.5)		
	X	Executed Declaration and Power of Attorney		
	X	Recordation Form Cover Sheet Form PTO-1595		
	X	Executed Assignment (2 pages)		

Attorney Docket No.: 11738.00161

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